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**GPS Availability and Positioning Issues When the Signal Paths are Aligned with  
Ionospheric Plasma Bubbles**

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**Abstract** The propagation paths of signals through equatorial ionospheric irregularities are analyzed by evaluating their effects on Global Navigation Satellite System positioning and availability. Based on observations during 32 days by a scintillation monitor at São José dos Campos, Brazil, it was noted that there is a dominance of enhanced scintillation events for Global Positioning System ray paths aligned with the azimuth angle of  $345^\circ$  (geographic northwest). This azimuth corresponds to the magnetic meridian that has a large westward declination angle in the region ( $21.4^\circ$  W). Such results suggest that the enhanced scintillation events were associated with Global Positioning System signals that propagated along the direction of the magnetic field aligned plasma bubbles; in other words head-on through the plasma bubbles. It will be shown that, under this alignment condition, the longer propagation path length through plasma bubbles can result in more severe scintillation cases and more losses of signal lock, as supported by proposed statistics of bit error probability and mean time between cycle slips. Additionally, large precise positioning errors are also related to these events, as demonstrated by Precise Point Positioning experiments.

## Introduction

Ionospheric scintillation degrades the accuracy of navigation and positioning based on Global Navigation Satellite Systems (GNSS). Some authors observed that rapid phase variations are mapped into Doppler shifts in the Global Positioning System (GPS) signal, which may exceed the bandwidth of the phase lock loop, resulting in a loss of phase lock (Doherty et al. 2004). Additionally, amplitude fades may cause the signal-to-noise ratio to drop below the receiver threshold. These effects often accompany propagation delays and increase range measurement errors, besides causing the carrier and code loops to lose lock (Kintner et al. 2001). Scintillation may seriously affect positioning, resulting in total disruption of the receiver operation (Basu and Basu 1981). Such extreme phenomena are more usual near the equatorial and low-latitude regions (between  $\pm 20^\circ$  geomagnetic latitude) than in the auroral and polar zones (above  $55^\circ$  latitude). This work analyzes GPS scintillation data recorded during 32 days of the current solar maximum conditions at São José dos Campos, Brazil (geographic coordinates:  $23.2^\circ$  S,  $45.9^\circ$  W, dip latitude:  $19.2^\circ$  S), near the southern crest of the Equatorial Ionization Anomaly (EIA). The analysis has been performed by relating the scintillation intensity with the geometry of the propagation paths through the ionospheric irregularities and then evaluating the effects on GPS positioning and availability. The nature of the plasma bubble irregularity distribution with respect to the geomagnetic field configuration may magnify the scintillation effects. In Brazil, the geomagnetic field configuration, characterized by a large magnetic declination angle, provides a particular and favorable condition to assess such effects. A recent study by Moraes et al. (2017) showed scintillation enhancement events around the azimuth angle of  $345^\circ$ , which corresponds to signal propagation along ray paths that are nearly aligned with the magnetic meridian in this region. In view of the large westward magnetic declination ( $21.4^\circ$  W) of the region, this result suggested that the enhanced scintillation events were associated with GPS signals that propagated along the direction of the magnetic field aligned plasma bubbles. Calculations of the propagation angles with respect to the magnetic field lines showed that small values of this parameter and the larger propagation path length through bubbles could result in severe scintillation and more cases of loss of phase lock. This analysis will also show that large errors on Precise Point Positioning (PPP) are related to the eventual alignment.

Recently, Humphreys et al. (2009; 2010a) have suggested that deep fades in the amplitude of received signals, simultaneously occurring with sudden phase changes, caused loss

of phase lock in carrier tracking loops of GPS receivers. Such occurrences, also known as “canonical fades”, have been observed during strong low-latitude scintillation events (Humphreys et al. 2010b). In the present work, the canonical fading will be examined as a likely consequence of GPS signal propagation paths being aligned with the plasma bubble. These nonlinear ionospheric propagation effects on the GPS radio signal will be analyzed using the fading coefficients of the  $\alpha$ - $\mu$  distribution (Yacoub 2007).

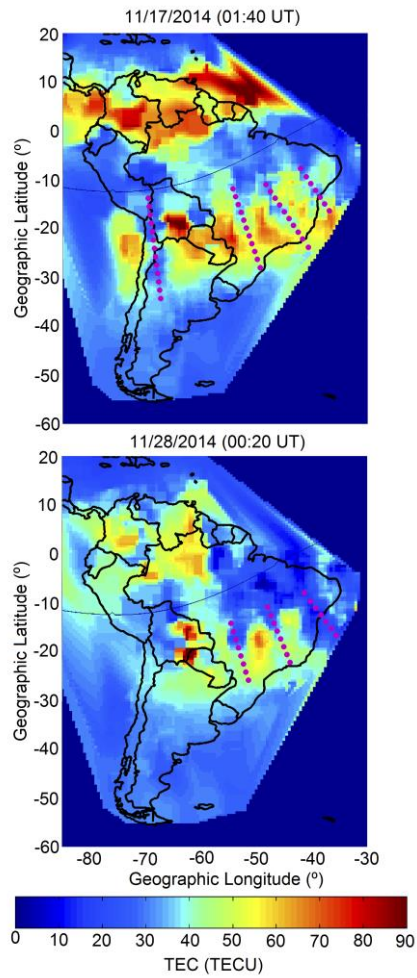
The next section will survey ionospheric scintillation. After a brief description of the experimental setup, the period of analysis and the geophysical condition will be presented. In sequence, a formulation that estimates the mean time between cycle slips in the receiver tracking loop will be presented, based on its observed relation with the bit error probability (Humphreys et al. 2010b). Next, the relation between scintillation parameters and propagation path directions will be analyzed and evidences of the effects of this irregularity alignment on the GPS Precise Point Positioning will be presented. The final section summarizes the main results in the study and presents concluding remarks.

### **The scintillation-producing ionospheric irregularities**

Plasma irregularities may develop in the post-sunset equatorial ionosphere under the electrodynamics processes unique to the sunset transition. The pre-reversal enhancement in the evening zonal electric field causes rapid uplifts of the steep gradient region of the bottomside ionospheric F layer. This establishes the conditions for the interchange instability processes driven by the Rayleigh-Taylor (R-T) mechanism to act on density perturbations, which begin to grow. The nonlinear growth of the irregularities involves the bottomside lower density plasma rising up even further to the topside ionosphere, in the form of magnetic flux tube aligned plasma depletions (known as equatorial plasma bubbles, EPBs), with extremities extending to latitudes of larger background plasma density in the Equatorial Ionization Anomaly. During their evolution, the EPBs are observed to drift generally eastward. Motions of medium-scale density secondary structures across transionospheric paths form complex moving field patterns at the ground that contain amplitude and phase variations. In this way, random temporal fluctuations are produced in both amplitude and phase of satellite signals received at the ground, which are

known as amplitude and phase scintillations, respectively (Yeh and Liu 1982). The irregularities with scale sizes of a few hundred meters are responsible for producing scintillation of transionospheric signals emitted by GNSS satellites (Kintner et al. 2007). Under geomagnetically quiet conditions, the equatorial scintillation activity develops in the post-sunset hours and typically lasts for 4-5 hours until midnight, sometimes also extending for a few hours into the post-midnight period. It presents strong seasonal and longitudinal variations that are dependent on the corresponding variations in the alignment between the sunset terminator and the magnetic meridian, as well as on the availability of seeding sources (Abdu et al. 1981; Tsunoda 1985). In the Brazilian region, scintillation activity extends from September to March and peaks around December. In addition, short-term variability may occur due to upward propagating atmospheric waves, sudden stratospheric warming episodes, and changes in solar and magnetic activities (de Paula et al. 2015; Abdu et al. 2015).

EPBs have east-west extension of a few tens to hundreds of kilometers and often occur in succession with zonal separation of a few hundred kilometers. Such features may be noted as the magnetic north-south aligned depletion patches in the total electron content (TEC, with units of  $10^{16}$  el/m<sup>2</sup>) distribution map constructed from measurements using GPS receiver arrays. Some examples of such TEC maps over Brazil, using data from 17 to 28 November 2014, are shown in Figure 1. Note that the TEC depletions (valley regions), associated with equatorial plasma bubbles, extend from the EIA trough region (along the dip equator) to the EIA crest region of (red and brown) larger background TEC values. We also note a large degree of day-to-day variability in the TEC depletions, as well as in the background TEC values. Two situations lead to intense scintillation. The first is the presence of the larger background plasma density that exists in the crest region of the Equatorial Ionization Anomaly, where the plasma irregularities are more intense. The second situation, which is the focus of the present study, is the degree to which segments of signal propagation paths become closer to being aligned with the field-aligned plasma bubble structures. Since scintillation results from effects integrated along the propagation path, the longer the field-aligned propagation segment, the more intense will the amplitude (or phase) scintillation be. In other words, the geomagnetic declination and inclination angles associated with the GPS propagation path 350-km Ionospheric Pierce Point (IPP) distribution are controlling factors of the scintillation distribution surrounding a receiving site.



**Fig. 1** Examples of TEC maps over Brazil, showing the magnetic north-south aligned bubble structures (pink dots) with extremities extending several degrees to lower geomagnetic latitudes. Also shown are (red and brown) larger background TEC values in the EIA crest region.

**Ionospheric scintillation measurements, spatial distribution and alignment**



169 The scintillation data used in the present study were recorded by a Septentrio PolaRxS monitor  
 170 belonging to the CIGALA/CALIBRA network (Vani et al. 2016). This monitor is located at São  
 171 José dos Campos (SJC), Brazil (geographic coordinates: 23.2° S, 45.9° W, dip latitude: 19.2° S).  
 172 The analysis focuses on two periods: 15-30 November 2014 and 04-18 February 2015. The  
 173 average sunspot number and the F10.7 solar flux values varied around 169 and 133 s.f.u. (1 s.f.u.  
 174 =  $10^{-22}$  W/m<sup>2</sup>/Hz), respectively. The measurements were made during the equatorial spread F  
 175 occurrence season in Brazil, which typically extends from September to April (Sobral et al.  
 176 2002). For this study, GPS satellites with elevations greater than 20° were considered. In the set  
 177 of observations from 19:00 LT to 01:00 LT during 32 nights, approximately 179 hours of  
 178 significant GPS L1 amplitude scintillation were recorded, considering the transmissions from all  
 179 satellites.

180 The strength of the amplitude scintillation, represented by the  $S_4$  index, defined as the  
 181 normalized standard deviation of the received signal intensity (Yeh and Liu 1982)

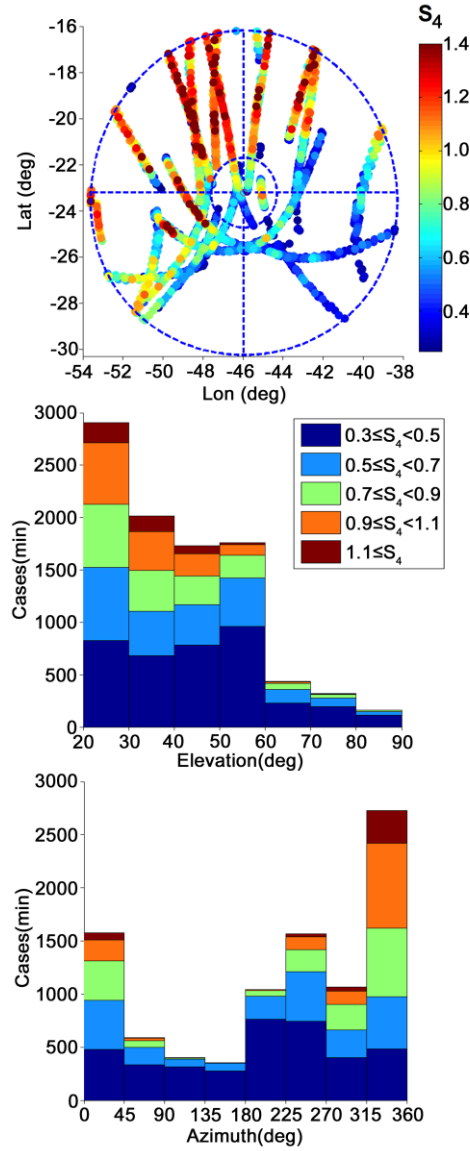
$$182 \quad S_4 = \sqrt{\langle I^2 \rangle - \langle I \rangle^2} / \langle I \rangle \quad (1)$$

183 is one of the basic parameters for this study. In this well-known expression,  $I = |R|^2$  is the  
 184 received intensity signal,  $R$  is its amplitude, and  $\langle \rangle$  denote an ensemble average during one  
 185 minute. The receiver records the intensity at 50 Hz for all tracked satellites, providing the  
 186 respective  $S_4$  index at every 60 seconds. Only samples with  $S_4 > 0.3$  were considered as  
 187 scintillation cases. The first Fresnel zone scale sizes of the field-aligned irregularities that are  
 188 responsible for scintillation, immersed in the larger-scale plasma bubbles, are approximately  
 189 equal to 400 meters at the GPS L-band frequencies.

190 Figure 2 shows the azimuth and elevation distributions of amplitude scintillation  
 191 observed by the São José dos Campos monitor during the two periods of observations. All 10743  
 192 scintillation cases with  $S_4 > 0.3$  were used in this plot. The top panel of Figure 2 shows azimuth-  
 193 elevation distribution of the  $S_4$  values in association with the 350-km IPPs, using the color scale  
 194 on the right to represent the scintillation strength. Note that consecutive orbits show very small  
 195 day-to-day variations during the period of observation. To emphasize the severity of scintillation  
 196 effects on GPS transmissions, the highest  $S_4$  values were brought to front in this plot, showing  
 197 the predominance of moderate-to-strong amplitude scintillation levels with  $S_4 > 0.9$  in the

northern sector. This feature may be partly due to the ambient plasma density, since TEC must be stronger in the northern sector over São José dos Campos, which includes the crest of the EIA. Scintillation is highly dependent on the apex altitude of the irregularities. The apex altitudes, corresponding to the aligned cases in the northern sector, vary from 431 km to 556 km. Equatorial plasma bubbles commonly reach these apex altitudes over Brazil (Abdu et al. 2009). In principle, bubble irregularities may also extend to regions southward of the receiving site. However, only weak signatures of such bubble irregularities were observed in the available data set.

The middle and bottom bar charts in Figure 2 represent the elevation and azimuth distributions of scintillation occurrences for  $S_4$  values varying from  $0.3 \leq S_4 < 0.5$  to  $S_4 > 1.1$ . Note that 80% of the cases with  $S_4 \geq 1.1$  are associated with elevations less than  $41^\circ$ . For  $0.9 \leq S_4 < 1.1$  and  $0.7 \leq S_4 < 0.9$  and the same elevation interval, the corresponding percentages are 75% and 73 %, respectively. The azimuth chart confirms in quantitative terms the predominance of high  $S_4$  values between azimuths  $315^\circ$  and  $360^\circ$ . For this azimuth sector,  $S_4 \geq 1.1$ ,  $0.9 \leq S_4 < 1.1$ , and  $0.7 \leq S_4 < 0.9$  make up 68%, 62%, and 40% of the observed data, respectively. These results are consistent with the ones provided by Xu et al. (2012).



**Fig. 2** GPS L1 amplitude scintillation events plotted at the respective IPP values (top panel). The outer and inner circles define elevations of 20° and 60°. The middle and bottom panels show  $S_4$  distributions as functions of elevation and azimuth, respectively.

## Cycle slips, bit error rate and $\alpha$ - $\mu$ model

Humphreys et al. (2010b) showed that the bit error probability  $P_e$  for binary differential phase-shift keying (binary DPSK) transmissions and the mean time between cycle slips  $T_s$  are closely related by  $T_s \approx T_b/P_e$ , where  $T_b = 0.02$  s is the GPS L1 bit duration. Based on this connection, and assuming a Ricean fading channel, they proposed a model for estimating the cycle slip rate as a function of scintillation intensity, the fluctuation speed, and the carrier power to noise power density ratio.

Based on the work of Yacoub (2007), Moraes et al. (2012; 2014a) used the  $\alpha$ - $\mu$  model to provides a flexible and realistic description of the amplitude scintillation. The  $\alpha$ - $\mu$  probability density function (pdf) of the amplitude envelope  $R$  of the received signal is given by

$$f_R(r) = \frac{\alpha}{\Gamma(\mu)} \frac{\mu^\mu}{\tilde{r}} (r/\tilde{r})^{\alpha\mu-1} \exp\left[-\mu(r/\tilde{r})^\alpha\right] \quad (2)$$

where  $\alpha > 0$  is an arbitrary fading parameter,  $\tilde{r} = [E(R^\alpha)]^{1/\alpha}$ , and  $\mu > 0$  is the inverse of the normalized variance of  $R^\alpha$ ; that is,  $\mu = E^2(R^\alpha)/\{E(R^{2\alpha}) - E^2(R^\alpha)\}$ . Additionally,  $\Gamma(z)$  is the Gamma function of the argument  $z$ .

Based on the evidence provided by Humphreys et al. (2010b) and considering binary DPSK transmissions,  $P_e$ , and consequently  $T_s$ , will be estimated by using the  $\alpha$ - $\mu$  model. To compute  $P_e$ , the  $\alpha$ - $\mu$  probability density function (pdf) of the instantaneous signal-to-noise ratio  $S = \tilde{s}(R/\tilde{r})^2$ , where  $\tilde{s} = \tilde{r}^2(E_b/N_o)$ , and  $(E_b/N_o)$  is the energy per bit to noise power density ratio, is initially obtained from (2) by a straightforward derivation (Magableh and Matalgah 2009)

$$f_S(s) = \frac{\alpha\mu^\mu}{2\Gamma(\mu)\tilde{s}} (s/\tilde{s})^{\alpha\mu/2-1} \exp\left[-\mu(s/\tilde{s})^{\alpha/2}\right] \quad (3)$$

Note that  $(E_b/N_o) = T_b(C/N_o)$ . Considering  $E(R^2) = 1$ , one gets

$$\tilde{r}^2 = \frac{\mu^{2/\alpha}\Gamma(\mu)}{\Gamma(\mu+2/\alpha)} \rightarrow \tilde{s} = \frac{\mu^{2/\alpha}\Gamma(\mu)}{\Gamma(\mu+2/\alpha)} (E_b/N_o) = \left[ \frac{\mu^{2/\alpha}\Gamma(\mu)}{\Gamma(\mu+2/\alpha)} \right] T_b(C/N_o) \quad (4)$$

For binary DPSK,  $P_e$  is given by (El Ayadi and Ismail 2014)

$$P_e = \int_0^{\infty} \frac{1}{2} \exp(-s) f_S(s) ds \quad (5)$$

Combining (3) to (5) and changing the integration variable,  $P_e$  can be computed by

$$P_e = \frac{\alpha \mu^\mu}{4\Gamma(\mu)} \int_0^{\infty} v^{\alpha\mu/2-1} e^{-(\mu v^{\alpha/2} + \tilde{s}v)} dv \quad (6)$$

Several formulations for  $P_e$  calculation have generally adopted the moment generating function (MGF) approach to express their final results in closed forms, in terms of transcendental functions (Magableh and Matalgah 2009; El Ayadi and Ismail 2014). However, (6) may be easily and accurately calculated by numerical quadrature methods.

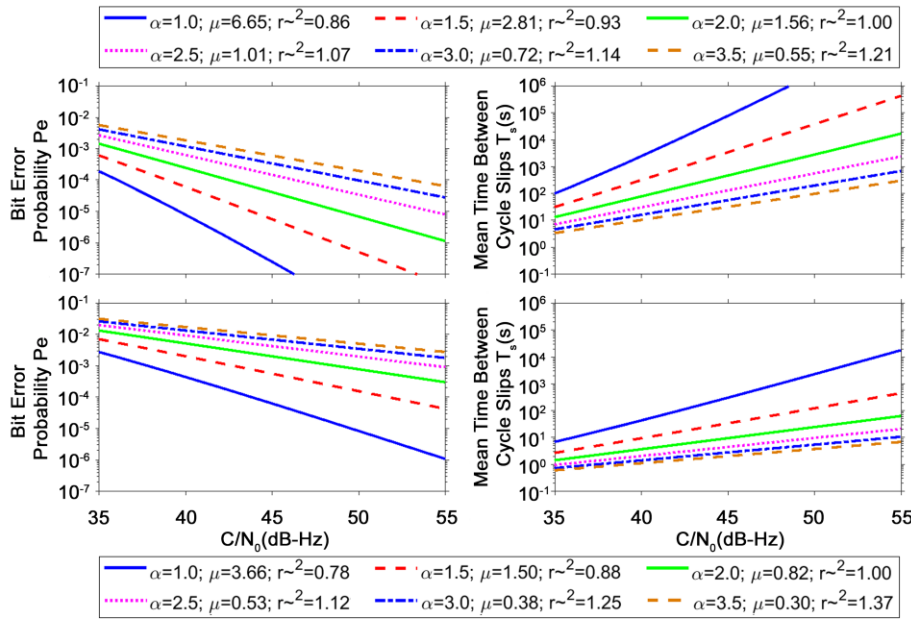
The left panels of Figure 3, obtained from (6) through the latter approach, illustrate the dependence of  $P_e$  as a function of  $C/N_o$ , for  $S_4 = 1.1$  (upper left),  $S_4 = 0.8$  (lower left), and different values of  $(\alpha, \mu, \text{ and } \tilde{r})$ . By using the  $\alpha$ - $\mu$  model, it is more intuitive to analyze scintillation based on  $S_4$ , having  $\alpha$  as an auxiliary severity indicator. Indeed, Moraes et al. (2014b) established the following relation between the scintillation index  $S_4$  and the parameters of the  $\alpha$ - $\mu$  distribution

$$S_4^2 = \frac{\Gamma(\mu)\Gamma(\mu+4/\alpha) - \Gamma^2(\mu+2/\alpha)}{\Gamma^2(\mu+2/\alpha)} \quad (7)$$

This equation numerically leads to a  $\mu$  value for each pair of independent parameters  $S_4$  and  $\alpha$ , and the associated  $\tilde{r}$  value is immediately obtained from equation (4). Equation (7) indicates that the  $\alpha$ - $\mu$  model may describe different scintillation patterns for each value of  $S_4$ . This is especially interesting for strong scintillation, since it is well known that  $S_4$  alone does not describe the effects from ionospheric perturbations on GPS signals. Each of the left panels of Figure 3 (with legends displaying, for fixed values of  $S_4$  and selected  $\alpha$  values, the calculated number for  $\mu$  and  $\tilde{r}$ ), confirms this information. As expected, the panels show that  $P_e$  decreases as  $S_4$  increases and each curve shows that the bit error probability  $P_e$  decreases as  $C/N_o$  increases (and vice-versa). However, for  $C/N_o = 42$  dB-Hz and  $S_4 = 1.1$ , the estimated values for  $P_e$  on the upper-left panel of Figure 3 are  $2.1 \times 10^{-4}$ ,  $3.8 \times 10^{-3}$  and  $1.4 \times 10^{-2}$ , for  $\alpha = 1.0, 2.0$  (the Nakagami-

m case) and 3.5, respectively. For  $S_4 = 0.8$ , the corresponding estimates on the bottom-left panel of Figure 3 are  $2.0 \times 10^{-6}$ ,  $1.3 \times 10^{-4}$ , and  $1.3 \times 10^{-3}$ , for  $\alpha = 1.0, 2.0$ , respectively.

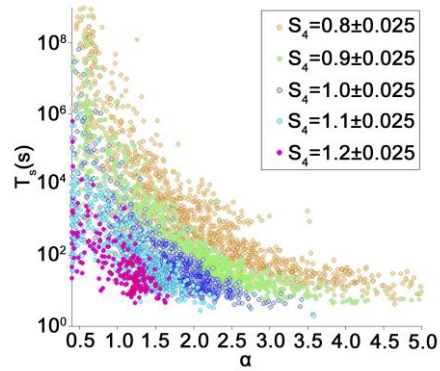
The right panels of Figure 3 simply map  $P_e$  into the mean time between cycle slips through the approximate relationship  $T_s \approx T_b/P_e$ . Correspondingly, these panels show that  $T_s$  decreases as  $S_4$  increases and each curve shows that  $T_s$  increases as  $C/N_0$  also increases (and vice-versa). It is also possible to observe the influence of  $S_4$ ,  $C/N_0$ , and  $\alpha$  on the cycle slip occurrence. Considering  $C/N_0 = 42$  dB-Hz and  $S_4 = 1.1$ , the estimated values of  $T_s$  are 94.90 s, 5.33 s and 1.48 s, for  $\alpha = 1.0, 2.0$  and 3.5, respectively. For  $S_4 = 0.8$ , the corresponding estimates are  $9.53 \times 10^3$  s,  $1.61 \times 10^2$  s and  $1.55 \times 10^1$  s. The above results illustrate how signals with similar  $S_4$  and  $C/N_0$  may have completely different effects on  $P_e$  and  $T_s$ .



**Fig. 3** Curves for  $P_e$  (left panels) and  $T_s$  (panels) as functions of  $C/N_0$  and different values of  $\alpha$  (and associated values of  $\mu$  and  $\tilde{r}$ ). The upper and bottom panels assume  $S_4 = 1.1$  and  $S_4 = 0.8$ , respectively.

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284 In addition to  $S_4$  and average  $C/N_0$ , the scintillation monitor records a flag indicating whether  
 285 cycle slips occurred during the one minute of high-resolution (50 Hz) measurements. The  
 286 estimated values of  $\alpha$ ,  $\mu$ ,  $P_e$  and  $T_s$  are also associated with each one-minute record. Figure 4  
 287 displays the estimated  $T_s$  for the records in which cycle slips occurred, with each symbol  
 288 representing the corresponding values of  $S_4$ ,  $\alpha$ , and  $T_s$ . For a fixed value of  $S_4$  ( $\pm 0.025$ ), each  
 289 scattered plot relates  $T_s$  to  $\alpha$ , reinforcing that: (1) on average,  $T_s$  decreases with increasing values  
 290 of  $S_4$ ; and (2) for a fixed value of  $S_4$ , an increase in  $\alpha$  indicates an increase in the number of fades  
 291 and an associated decrease in  $T_s$  (Moraes et al. 2014a). The observed dispersion in  $T_s$  for fixed  
 292 values of  $S_4$  and  $\alpha$  is due to variations in  $C/N_0$ . It should be remembered that the Septentrio  
 293 PolaRxS GPS monitor is not a standard configuration. Instead, it is optimized for tracking  
 294 through periods of scintillation. Thus, the above distribution of cycle slips is very likely different  
 295 from those of normal GPS receivers in quantitative terms. However, it is expected that the  
 296 qualitative principles extracted from the above results would also be applicable to them.  
 297



298  
 299 **Fig 4** Cycle slips detected by the scintillation monitor for different  $S_4$  ( $\pm 0.025$ ) ranges.

300

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### 302 Alignment and Availability

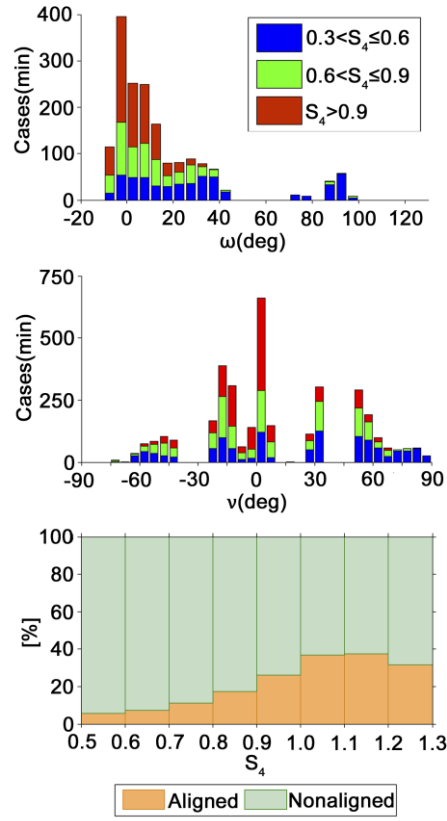
303 This section associates  $P_e$  and  $T_s$  with the angle between the propagation path and the  
 304 geomagnetic field directions. To obtain this angle, the propagation path direction lines (in terms

of their azimuth and elevation angles) at the 350-km IPPs were initially calculated for the receiver location and all satellite positions at that moment. The latest version of the International Geomagnetic Reference Field (*IGRF-12*) (Thébault et al. 2015) provided the geomagnetic field line directions at the IPPs. Next, all determined lines were projected onto the vertical (north and up) and horizontal (north and east) planes at the IPPs. The first projection ( $\omega$ ) measures the difference between the path elevation and the geomagnetic inclination. Note that the path elevations at the IPPs displayed by Figure 2, measured from the horizontal plane toward zenith at the IPP, are always greater than  $20^\circ$ , as explained in the first paragraph of the third section. Similarly, the geomagnetic inclination line is also above the same horizontal plane, since the dip angle is negative in the southern hemisphere. However, the difference  $\omega$  can be negative or positive, indicating that the path elevation line is below or above the geomagnetic inclination line, with respect to that horizontal plane, respectively. The second projection ( $v$ ) represents the angle between the path azimuth and the geomagnetic declination lines on the horizontal plane through the IPP. While the path azimuths at the IPPs displayed by Figure 2 vary from  $0^\circ$  to  $360^\circ$ , the angle  $v$  can be negative, indicating that the path azimuth line is to the right of the geomagnetic declination line, or positive, if the other relative position holds. Thus, the set of parameters associated with each one-minute record, listed in the last paragraph of the previous section, is augmented with  $\omega$  and  $v$ . The present study assumed that if  $|\omega|$  and  $|v|$  are simultaneously less than  $10^\circ$ , the GPS propagation path would be effectively aligned with the ionospheric bubble irregularity structure.

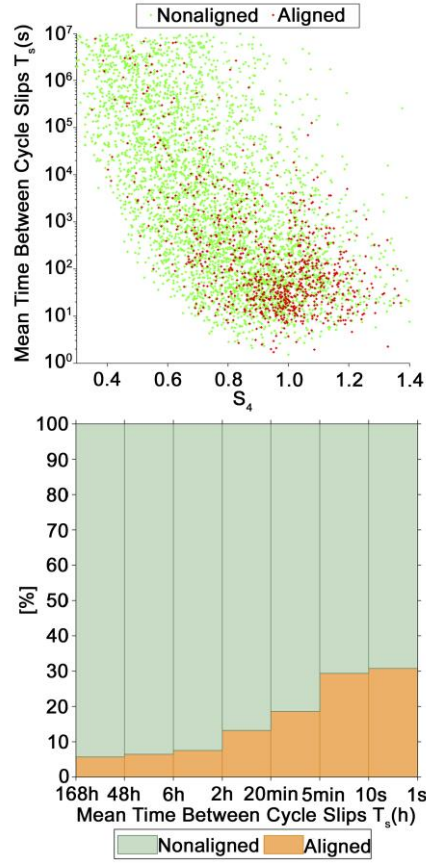
The bar plots in the top and middle panels of Figure 5 display the number of L1 scintillation occurrences as a function of  $\omega$  and  $v$ , for different ranges of  $S_4$ . It is noted that the smallest  $\omega$  are related with the largest scintillation index interval, and vice-versa. Similarly, the largest  $S_4$  values occur when the difference between the propagation angle and the magnetic declination is the smallest. Thus, a small angle between the propagation direction and the field-aligned bubbles, on both vertical and horizontal planes, corresponds to large scintillation intensity. The bottom panel of Figure 5 shows the percentages of aligned and nonaligned cases, which always add to 100 % within each  $S_4$  interval. It indicates that the increasing percentage of alignment between the propagation angle and magnetic field line results in a severe increase of the scintillation intensity.



The top panel of Figure 6 shows the estimated  $T_s$  values as functions of  $S_4$ , where the colors red and green represent aligned and nonaligned cases, respectively. It is noticed that the aligned cases are concentrated in the region of high  $S_4$  and low  $T_s$  values. The bottom panel in Figure 6 shows the percentages of aligned and nonaligned cases for different  $T_s$  classes. Again, the two percentages associated with each class add to 100 %. For very rare occurrences of cycle slips ( $48 \text{ h} < T_s \leq 168 \text{ h}$ , corresponding to one such event within the interval from two days to one week), the percentage of aligned cases is only 5.6%. This percentage increases to 18.5% for  $T_s$  between 5 min and 20 min. However, for  $1 \text{ s} < T_s < 10 \text{ s}$ , the percentage of aligned cases reaches 30.7%. Therefore, the alignment between the propagation path and the geomagnetic field directions favors the occurrence of the most serious conditions for the GPS receiver operation, leading to high values of  $S_4$  and small values of  $T_s$ .



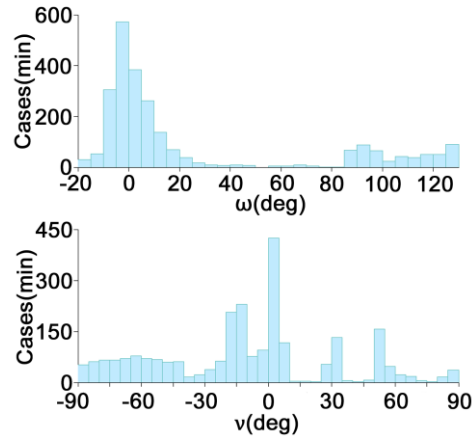
**Fig. 5** Top and middle panels: number of L1 scintillation occurrences as a function of  $\omega$  and  $\nu$ , respectively, for different ranges of  $S_4$  values. Bottom panel: percentages of alignment and nonalignment as functions of  $S_4$  intervals. Note that, for all  $S_4$  intervals, the two percentages always add to 100 %.



**Fig. 6** Top panel: scatter plot of the mean time between cycle slips  $T_s$  as a function of  $S_4$  for of aligned and nonaligned cases. Bottom panel: percentages of aligned and nonaligned cases for different  $T_s$  classes. Note that, for all  $T_s$  classes, the two percentages always add to 100 %.

Figure 7 displays the histograms for  $\omega$  and  $\nu$ , conditioned by  $T_s < 200$  s. They show the concentration of the most likely cases of loss of lock around  $0^\circ$  for both angles  $\omega$  and  $\nu$ . The percentages of  $S_4$  classified by the alignment in Figure 5 and the present plots show that GPS signals received end-on through field aligned plasma bubbles may suffer enhancement in

scintillation intensity. In view of the inverse relationship between  $T_s$  and  $S_4$ , evident in the top panel of Figure 6, the distributions of the numbers of cases of  $T_s < 200$ s with respect to  $\omega$  and  $v$ , which show their maximum occurrences at small angles, are consistent with top and middle panels of Figure 5. Such enhancement appears in addition to that occurring in the EIA crest region, arising from the fountain effect on the background plasma density. Scintillation enhancements due to the EIA crest region (to the north of the GPS receiver) may also contribute to the alignment effect seen on the vertical plane; that is, as a function of  $\omega$ . However, the cases of enhanced scintillation intensity ~~and those of in which~~  $T_s < 200$ s for propagation alignment in azimuth  $v$  do represent the scintillation enhancement for ~~end-on a case-view where unaff theected~~ by EIA crest effect is smaller. Both effects make signal tracking more difficult, with direct impact on the performance and availability of GPS receivers.



**Fig. 7** Top panel: distribution of the angular difference between the path elevation and magnetic inclination (dip angle) for  $T_s < 200$  s. Bottom panel: distribution of the value of the angular difference between the path azimuth and the geomagnetic declination for  $T_s < 200$  s.

Table 1 presents the average and standard deviation values of  $\alpha$ , as well as its maximum recorded value for different  $S_4$  intervals, considering aligned and nonaligned cases. It also presents the number of analyzed cases in each class. The analysis considers only the one-minute

records affected by losses of lock, as indicated by the corresponding flag. It is observed that the average value of  $\alpha$  for each aligned case is greater than the one for the corresponding nonaligned case. For all  $S_4$  intervals, the increase of  $\alpha$  values implies a decrease in the estimate of  $T_s$  as shown in Figure 3. This is an indication that the alignment affects the statistics of amplitude scintillation in a severe way, with distributions that display a higher percentage of deep fades than those related with the nonaligned ones (Moraes et al. 2014b).

**Table 1** Comparison between statistics of  $\alpha$  coefficients for aligned and nonaligned conditions.

	Aligned				Nonaligned			
	E( $\alpha$ )	std( $\alpha$ )	max( $\alpha$ )	Cases	E( $\alpha$ )	std( $\alpha$ )	max( $\alpha$ )	Cases
$0.3 < S_4 \leq 0.4$	3.16	4.37	51.20	42	2.58	2.59	22.93	2151
$0.4 < S_4 \leq 0.5$	2.72	3.08	20.54	55	2.34	2.66	48.05	1549
$0.5 < S_4 \leq 0.6$	3.14	3.62	23.66	69	2.16	2.27	22.39	1176
$0.6 < S_4 \leq 0.7$	2.91	3.18	30.55	69	2.28	2.28	18.21	890
$0.7 < S_4 \leq 0.8$	2.83	2.70	22.16	91	2.21	1.67	13.74	733
$0.8 < S_4 \leq 0.9$	2.58	3.48	56.48	133	1.99	3.28	52.07	635
$0.9 < S_4 \leq 1.0$	2.01	1.09	12.38	188	1.82	3.13	43.86	534
$1.0 < S_4 \leq 1.1$	1.15	0.67	3.68	207	1.15	0.62	2.53	357
$1.1 < S_4 \leq 1.2$	1.02	0.48	2.20	100	0.90	0.44	1.68	166
$1.2 < S_4$	0.81	0.37	1.62	57	0.65	0.34	1.40	123

This section showed that GPS signals propagating along the magnetic field lines are more likely to propagate longer distances in the turbulent medium created by the field-aligned EPBs. Therefore, the alignment geometry is more likely to cause interruptions to GPS receiver operation.

398

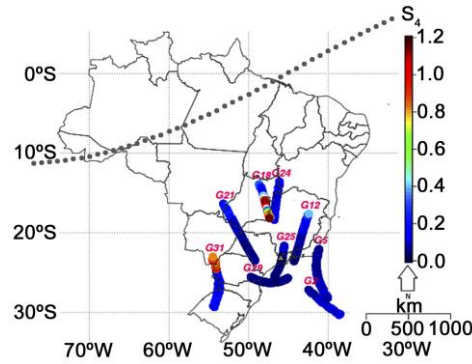
## 399 **Alignment and Positioning**

400 This section discusses the influence of ionospheric irregularity alignments with the signal  
401 propagation paths on GPS positioning. The observation dataset was evaluated by the Precise  
402 Point Positioning (PPP) approach (Zumberge et al. 1997) using the RT-PPP software developed  
403 by the Universidade Estadual Paulista Júlio de Mesquita Filho (UNESP), available at <<http://is-cigala-calibra.fct.unesp.br/ppp/>> (Marques 2014).

405 The PPP was applied with the standard configuration (that is, with corrections for  
406 tropospheric and first-order ionospheric refraction effects, but without any attempt to mitigate  
407 those from scintillation). Dual-frequency (L1 and L2) only GPS data were processed in the  
408 kinematic mode, simulating real field conditions with a sampling rate of 1 s and elevation cutoff  
409 of 10°. Within this mode, the position parameters and receiver clock error are estimated at every  
410 epoch by the least-squares adjustment, while the phase ambiguities are estimated iteratively  
411 (Marques et al. 2016). Typically, this procedure leads to centimeter-level accuracy within 20  
412 minutes, due to the convergence period of the phase ambiguity parameters (Gao and Shen 2002).  
413 In the presence of scintillation, PPP may experience higher-order errors mainly associated with  
414 cycle slips and range degradations in the observables. Using the RT-PPP software, if a cycle slip  
415 is detected through the algorithm designed by Blewitt (1990), its corresponding ambiguity  
416 parameter is re-initialized. Consequently, a new convergence period is required for such  
417 parameter and the accuracy of the positioning may deteriorate in the meanwhile. Another source  
418 of deterioration in positioning accuracy is the sudden change in geometry caused by losses of  
419 lock during scintillation, as highlighted by Zhang et al. (2014). The detection, identification and  
420 adaption (DIA) method (Teunissen 1998) is applied for outlier detection and quality control  
421 adjustment.

422 Typical examples of the influence of the alignment under discussion on the PPP  
423 performance is presented in Figures 8 and 9, based on data recorded between 19:00 LT and  
424 21:00 LT during the night of 17 November 2014. Figure 8 shows the skyplot of the time  
425 variation of the IPPs of all available GPS satellite links to the São José dos Campos monitor, in  
426 combination with the corresponding  $S_4$  values. The satellite signals transmitted by PRN18 (G18  
427 in the plot) are associated with ray paths aligned with magnetic-field elongated EPBs between

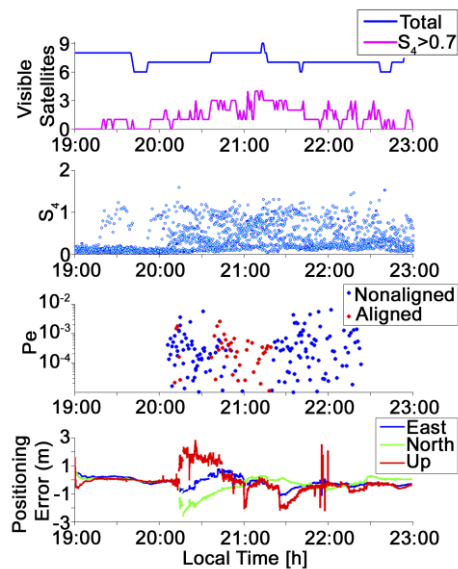
20:06 LT and 21:00 LT, being persistently and severely affected by the strongest scintillation. However, the alignment condition actually started earlier at 19:35 LT, with little noticeable effects.



**Fig. 8** Skyplot of the time variation of the IPPs of all available GPS satellite links to the São José dos Campos monitor in combination with the corresponding  $S_4$  values for 17 November 2014 between 19:00 LT and 21:00 LT.

The upper three panels of Figure 9 present the total number of satellites used in the PPP solution,  $S_4$  values for all satellites, and the noticeable cases of bit error probability  $P_e > 10^{-6}$  ( $T_s < 20000$  s). The bit error probability for the alignment condition, which only affected PRN18, started at approximately 20:06 LT and lasted until 21:00 LT, being indicated by red dots. However, the alignment precondition actually started at 19:35 LT, with lower  $P_e$  values. The bottom panel of Figure 9 displays the time series of the North, East and Up PPP error components. Analyzing the  $S_4$  plot in Figures 9, it is observed that scintillation with  $S_4 > 0.7$  started at 19:40 LT, so remaining until 21:00 LT, while the PPP solution experienced higher-order errors, reaching (and sometimes exceeding) meter-level accuracy between 20:35 LT and 20:47 LT. These events occurred simultaneously with the period of EPB alignment with the PRN18 propagation path, when noticeable values of  $P_e$  also occurred. This is an evidence that  $P_e$  is a realistic parameter to diagnose difficulties in the PPP processing approach, not only under alignment, where it is more perceptible, but also for  $P_e > 10^{-6}$ . What is quite relevant in the top

450 panel of Figure 9 is the fact that the transmissions by two satellites experienced simultaneous  
 451 losses of lock during short intermittent intervals. These events are also identified by high  $P_e$   
 452 values, beginning at approximately 20:35 LT, according to corresponding panel of Figure 9. In  
 453 the absence of adequate time for the PPP procedure to recover from losses of lock and converge  
 454 again, its solution yields large errors.



455  
 456 **Figure 9** – Example from 17 November 2014. From top to bottom: total number of visible  
 457 satellites used by the PPP processing approach; all  $S_4$  values estimated between 19:00 LT and  
 458 23:00 LT; noticeable cases of bit error probability  $P_e > 10^{-6}$  for aligned (red dots) and nonaligned  
 459 cases (blue dots); and North (N), East (E) and Up (U) components of the positioning error  
 460 calculated by the PPP processing approach.

461  
 462 Table 2 presents detailed results from the highlighted night of 17 November 2014 (DOY  
 463 321). It also presents observations for the period between 18 and 30 November, 2014. All  
 464 alignment cases occurred for PRN18. The three-dimensional rms and average positioning error,  
 465 calculated by the PPP processing approach, as well as its maximum value (all in m) are listed in



the last columns of Table 2, showing large PPP errors due to alignment. Such a result may be considered for mitigation of this type error in the future.

**Table 2** PPP results under alignment conditions for 15-30 November 2014.

DOY	Cases $S_4 > 0.7$	Max( $S_4$ )	Max( $P_e$ )	RMS( $3d\sigma$ )	Max( $3d\sigma$ )	E( $3d\sigma$ )
321	30	1.28	0.0027	0.3623	2.0304	0.3770
322	26	1.19	0.0047	0.4130	1.9380	0.5791
323	48	1.37	0.0025	0.3241	2.5973	0.4232
324	0	0.45	0.0000	0.1077	0.8250	0.3982
325	51	1.60	0.0036	0.9226	2.8496	0.9686
326	34	1.25	0.0030	0.3528	2.5637	0.6211
327	43	1.22	0.0031	0.1888	1.2140	0.3040
328	63	1.21	0.0035	0.1100	0.6216	0.3685
329	52	1.41	0.0030	0.1020	0.6263	0.2716
330	31	1.31	0.0012	0.1573	1.5378	0.5249
331	46	1.33	0.0049	0.1996	1.2328	0.8322
332	12	1.00	0.0014	0.2643	1.2503	0.7543
333	8	1.06	0.0054	0.3266	2.3209	0.7251
334	20	1.27	0.0013	0.4386	2.4038	1.2289

## Conclusion

DasGupta et al. (2004) and Ray et al. (2014) previously demonstrated that GPS signals are more severely affected by scintillation when their propagation paths are aligned with EPBs. The former reference based their arguments on azimuth-elevation plots of the scintillation index

estimated from measurements performed by a GPS monitor located at Calcutta (22.58°N, 88.38°E geographic; 32.19°N magnetic dip, 17.35°N dip latitude), as well as on corresponding positioning errors, which reached 11 m in latitude and 8 m in longitude. The latter reference displayed  $S_4$  values along GPS satellite tracks observed from Bhopal (23.28°N, 77.34°E geographic; 34.90°N magnetic dip, 19.20°N dip latitude) in combination with a map of the angle between the ray path and the geomagnetic field directions, in terms of the subionospheric latitude and longitude. Noting that the example also represented observations from several other stations in the Indian sector, the authors also arrived at the above conclusion. Here, experimental data obtained during 32 days at a station located under the Southern crest of the EIA in Brazil have been analyzed. The results have confirmed that, in the Brazilian sector, scintillation intensity is also enhanced when signal propagation path segments tend to align themselves with EPB structures, which are known to be elongated along magnetic flux tubes. Such scintillation enhancements occurred predominantly at azimuth angles around 345°, nearly aligned with the magnetic meridian over this region, which has a large westward declination (21.4° W). Moreover, that loss of phase lock is more likely to occur in the presence of this geometry. The present scenario favors the assessment of such effects. To extend the previous results, statistical analyses of the present experimental data have been performed. Next, the  $\alpha$ - $\mu$  model has been used to estimate the mean time between cycle slips  $T_s$  through its approximate relationship with the bit error rate  $P_e$  of binary DPSK signals. Thus, it has been quantitatively shown that the above alignment, which resulted in more severe scintillation cases with high values of  $S_4$ , is also responsible for small values of  $T_s$ , likely causing losses of phase lock. The Precise Point Positioning analysis has shown that the large observed errors are related with that condition. The results has shown that PPP also experienced significant errors for high values of  $P_e$ , even in the absence of the alignment condition. In one particular example, these errors increased in response to transmissions by two satellites experiencing simultaneous losses of lock during short intermittent intervals. These events strongly impacted GPS availability and positioning performance. However, it has been shown that such performance metrics have been specially degraded under the alignment condition.

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